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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,950	12/27/2001	Seiji Yaegashi	33035M084

CONFIRMATION NO. 7336

## FORMALITIES LETTER



SMITH, GAMBRELL & RUSSELL, LLP  
SUITE 800  
1850 M STREET, N.W.  
WASHINGTON, DC 20036

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

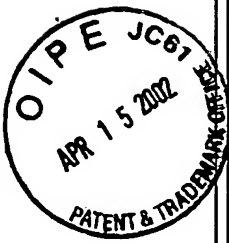
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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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Atty. Dkt No.  
33035M084

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Seiji Yaegashi, et al.  
Serial No. : 10/026,950 Art Unit : 2811  
Filed : December 27, 2001 Examiner : To Be Assigned  
For : HETEROJUNCTION BIPOLAR TRANSISTOR AND METHOD  
OF MAKING HETEROJUNCTION BIPOLAR TRANSISTOR

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-  
PROVISIONAL APPLICATION, FILED UNDER 37 C.F.R. 1.53(b)**

Attn.: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 25, 2002, Applicants submit herewith the following documents relating to the above-captioned patent application:

- \* A copy of the Notice to File Missing Parts of Application;
- \* Executed Declaration and Power of Attorney;
- \* Assignment Recordation Cover Form attached w/ executed Assignment;
- \* Claim for Priority with certified copy of application;
- \* Information Disclosure Statement, PTO Form 1449 and cited references;



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PATENT TRADEMARK OFFICE

\* Check for \$910.00

The check in the amount of \$910 is enclosed is to cover the following fees:

Basic Filing Fee:	\$ 740.00 (large entity)
Surcharge:	\$ 130.00
Recordation Fee:	\$ 40.00

**TOTAL:** **\$ 910.00**

If any additional fees are due in connection with this filing, such as fees under 37 C.F.R. §§ 1.16 or 1.17, the Commissioner is authorized to charge the fees to SGR Deposit Account No. 02-4300; Order No. 033035M084. Similarly, please credit any overpayment to SGR Deposit Account No. 02-4300.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By:



Michael A. Makuch Reg. No 32,263  
1850 M Street, N.W., Suite 800  
Washington, D.C. 20036  
Telephone: (202) 263-4300  
Facsimile: (202) 263-4329

Dated: April 15, 2002